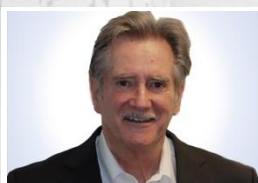


## Retirement Plan Consulting

### Wealth Advisor Team Profile

Santa Rosa, CA



**Rick Tasker, CFS®, AIF®**

Managing Director, Principal



**Yvette Clark**

Vice President

### ! Situation Analysis

After more than 25 years leading a successful retirement plan consulting practice, Rick Tasker recognized it was time to find a firm to support his continued growth. He wasn't looking to exit the business—he was seeking a long-term solution. The right firm needed to offer sophisticated technology, digital marketing, robust compliance capabilities, and most importantly, a culture aligned with his values. As someone deeply committed to helping employers and employees build long-term retirement security, Rick needed a firm that appreciated the nuances of fiduciary guidance and retirement plan oversight. He found that in Robertson Stephens.

### 🎯 Rationale & Goals

- Rick wanted to maintain control of his business while gaining a collaborative partnership culture for long-term success.
- He sought a firm that would provide advanced compliance, technology, and investment support to help scale his retirement plan practice.
- Rick was looking for a culture that respected his expertise, provided a seat at the table, and valued transparency and his expertise.
- Rick needed a platform that allowed him to offload operational duties so he could focus on client relationships and fiduciary service.
- He aimed to align with a firm that understood the dual responsibility of serving both employers and employees in retirement planning.

### 🏆 Results & Outcome

- Rick gained access to comprehensive firm-wide resources, including investment due diligence, financial and tax planning, estate planning, and cash management.
- The Robertson Stephens collaborative culture enabled Rick to connect with other specialized advisors, expanding his reach and expertise.
- The firm's robust compliance infrastructure ensured Rick could confidently manage his clients' fiduciary responsibilities.
- He integrated centralized technology tools, saving time while enhancing client communication and education.
- Rick has been able to focus more deeply on client relationships, spending less time on administrative tasks and more time delivering high-impact fiduciary guidance.